

03-27-01

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J1041 U.S. PTO
03/26/01

Patent Application

PTO
09/8/11
11017 U.S. PTO
03/26/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Richard H. Bailey

Entitled: ENHANCED LOW PASS FILTER

Box PATENT APPLICATION
Assistant Commissioner of Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING BY EXPRESS MAIL

"EXPRESS MAIL" Mailing Label No. EL855710854USDate of Deposit 03/26/01

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Box PATENT APPLICATION
Assistant Commissioner of Patents, Washington, D.C. 20231Type or Print Name Martha RochaMartha Rocha
Signature

Dear Sir:

REQUEST FOR FILING A NATIONAL PATENT APPLICATION

Transmitted herewith for filing, please find the following:

- ☒ 1. Specification, claims and abstract of the above-referenced patent application having 43 pages.
- ☒ 2. 4 sheet(s) of drawing(s) (☐ formal / ☒ informal) comprising Figures 1 through 4.
- ☒ 3. Declaration and executed Power of Attorney's (☒ signed ☐ unsigned).
- ☐ 3A. No filing fee, Oath, or Declaration is enclosed pursuant to 37 C.F.R. 1.53(d).
- ☐ 4. Information Disclosure Statement along with Form PTO-1449 and references.
- ☐ 5. This is a: ☐ Continuation-In-Part; ☐ Divisional; ☐ Continuation; ☐ substitute Application (MPEP 201.09) of Application Serial No. ☐ filed ☐; ☐ reissue of U.S. Patent No. ☐ filed on ☐.
- An extension to extend the life of the above prior Application to at least the date of filing hereof
(One box must be marked)

135836ALCATEL06

Patent Application

- (a) _____ is concurrently being filed in that prior Application,
(b) _____ was previously filed in that prior Application,
(c) _____ is not necessary for copendency.

X ✓ 6. Attached is an assignment to Alcatel, société anonyme. Please return the recorded assignment to the undersigned.

X 7. Priority is claimed under 35 U.S.C. § 119/120 based on filing in United States.

	<u>Application No.</u>	<u>Filing Date</u>
(1)	<u>N/A</u>	<u>March 2, 2001</u>
(2)	<u> </u>	<u> </u>
(3)	<u> </u>	<u> </u>

_____ (No.) Certified copy (copies) _____ are attached; or _____ were previously filed on _____.

X 8. Attached is Form PTO/SB/35 NonPublication Request Under 35 U.S.C. 122(b)(2)(B)(i).

_____ 9. Attached: _____ (No.) verified statement(s) establishing "small entity" status under 37 CFR § 1.9 and 1.27.

X 10. Attached:

X Return Postcard
_____ (Other)

_____ 11. Preliminary Amendment:

Prior to a first Office Action, kindly amend the Application as follows:

Patent Application

12. The following Filing Fee calculation is based on the claims filed less any claims canceled by the Preliminary Amendment of Item 11.

BASIC FEE					SMALL ENTITY RATE		LARGE ENTITY RATE			
					\$355		<u>OR</u>		\$710	
	NUMBER FILED		NUMBER EXTRA							
TOTAL CLAIMS	47	-20	=	27 (at least 0)	x 9	<u>OR</u>	x 18	=	\$ 486.00	
INDEP. CLAIMS	7	-3	=	4 (at least 0)	x 40	<u>OR</u>	x 80	=	\$ 320.00	
If any <u>proper</u> multiple dependent claim (ignore improper) is present (Enter \$0.00 if this is a <u>reissue</u> application.)					+ \$135	<u>OR</u>	+ \$270	=	+ \$	
If assignment is x'd (item 6), add recording fee \$40.00										+ \$ 40.00
Attached is a Rule 47 Petition (inventor refuses to sign or cannot be reached) \$130										+ \$ 00.00
TOTAL FILING FEE										= \$1,556.00

13. A check in the amount of ____ to cover the Filing Fee calculated in Item 11 is attached. Please charge any deficiency or credit any overpayment to Simon, Galasso & Frantz PLC Deposit Account No. ____.
14. Please charge SIMON, GALASSO & FRANTZ PLC, Deposit Account No. ____ in the amount of ____ the Filing Fee calculated in Item 11. This sheet is attached in duplicate.
15. The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and may be required under 37 CFR 1.16-1.18 (missing or insufficiencies only) now or hereafter relative to this application and for the resulting Official Document under 37 CFR 1.20, and to have and cause any necessary petition for extension of time to be filed and any fees necessary to be paid for said extension of time OR credit any overpayment to SIMON, GALASSO & FRANTZ PLC Deposit Account No. _____, for which purpose a duplicate copy of this sheet is attached. **The Commissioner is not authorized to charge the issue fee until/unless an issue fee transmittal form is filed.**

Patent Application

X 16. Customer Number or Bar Code Label




24587

PATENT TRADEMARK OFFICE

Respectfully submitted,
Richard H. Bailey

By:


Raymond M. Galasso
Reg. No. 37,832

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**NONPUBLICATION REQUEST
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor	<i>Richard H. Bailey</i>	
Title	<i>ENHANCED LOW PASS FILTER</i>	
Atty Docket Number	<i>135836Alcate106</i>	

I hereby certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

3-26-01

Date

Rm Galasso

Signature

Raymond M. Galasso

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

FORM 77-032601